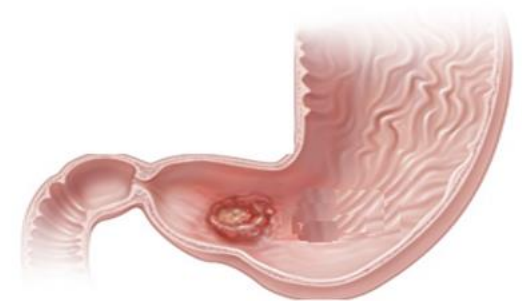


# Perioperative Therapie des Adenokarzinoms des Magens und ösophagogastralen Übergangs

15. Oktober 2023

Sylvie Lorenzen  
III. Medizinische Klinik  
Klinikum rechts der Isar,  
Technische Universität  
München



## Offenlegung potentieller Interessenkonflikte

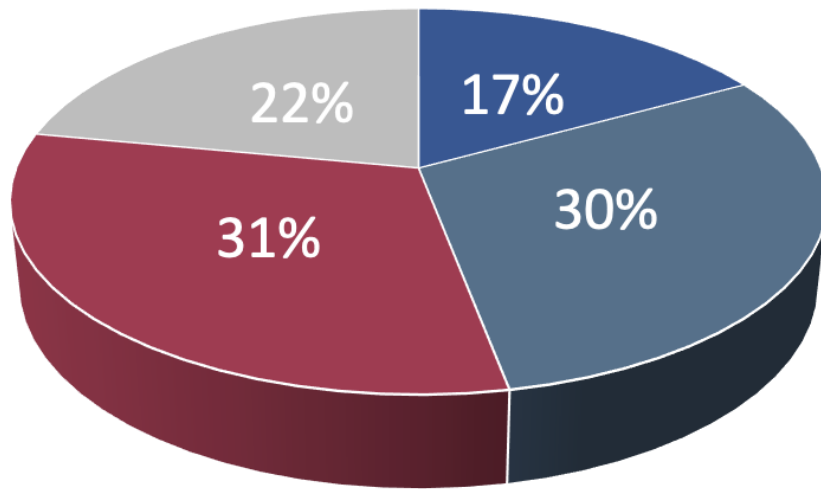
- Anstellungsverhältnis oder Führungsposition  
*keine*
- Beratungstätigkeit  
*Eli Lilly, Roche, Servier, Merck-Serono, Sanofi-Aventis*
- Aktienbesitz  
*keine*
- Honorare  
*Eli Lilly, Roche, Amgen, Riemser, Servier*
- Finanzierung wissenschaftlicher Untersuchungen  
*StudienTeilfinanzierung durch Eli Lilly.*
- Gutachtertätigkeit  
*keine*
- Andere finanzielle Beziehungen  
*keine*

# Gastroösophageales Adenokarzinom Stadium bei Diagnose

## EUROPE

Retrospective observational study from 15 European countries

Stage at diagnosis (%)



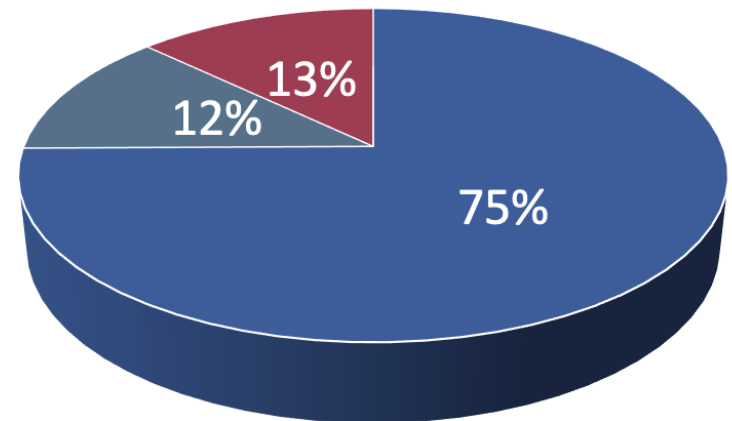
■ Local ■ Regional ■ Metastatic ■ Unknown

Adapted from Minicozzi P et al, 2018<sup>1</sup>

## JAPAN

Retrospective cohort study from 2 Japanese hospitals

Stage at diagnosis (%)



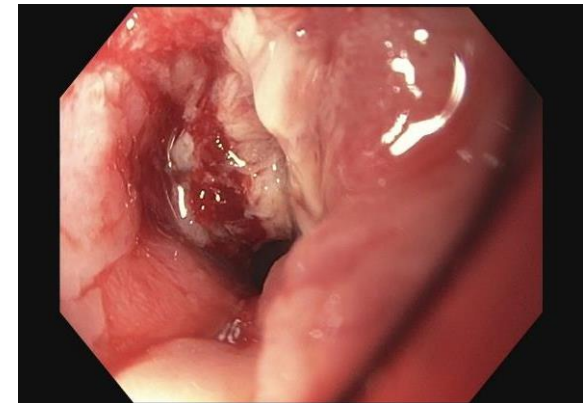
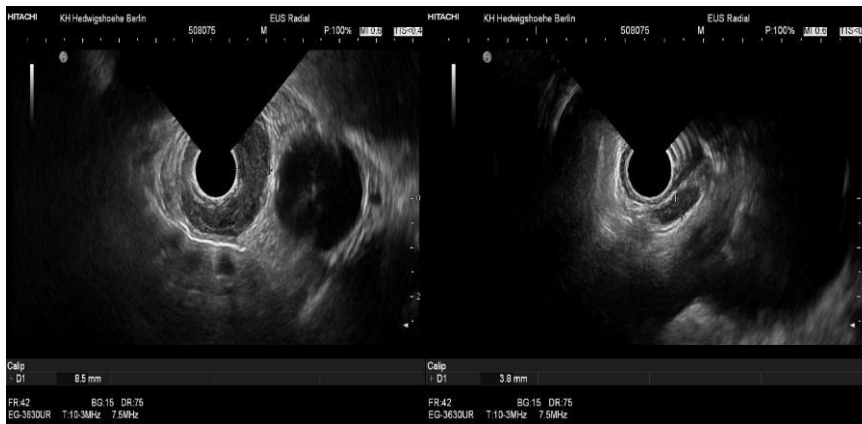
■ Local ■ Regional ■ Metastatic

Adapted from Kuzuu K et al, 2021<sup>2</sup> \*

\*Percentages were calculated based on the number of patients in each group and rounded to the nearest unit

~61% in Europe vs ~25% in Japan  
of all patients with newly diagnosed gastroesophageal  
adenocarcinoma  
become candidates for palliative chemotherapy

# Lokal fortgeschrittenes Gastroösophageales Karzinom



# Lokal fortgeschrittene Erkrankung – Deutsche S3 Leitlinie Perioperative Therapie Standard seit 2011

## 67. Empfehlung

**Beim lokalisierten Adenokarzinom des ösophagogastralen Übergangs der Kategorien uT3 und resektablen uT4-Tumoren soll/sollte eine perioperative Chemotherapie oder eine neoadjuvante Radiochemotherapie durchgeführt werden.**

Empfehlungsgrad: A/B

Level of Evidence: 1b

perioperative Chemotherapie

de Novo: [239, 324, 327 – 329, 331, 334, 337 – 339]

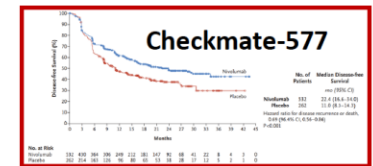
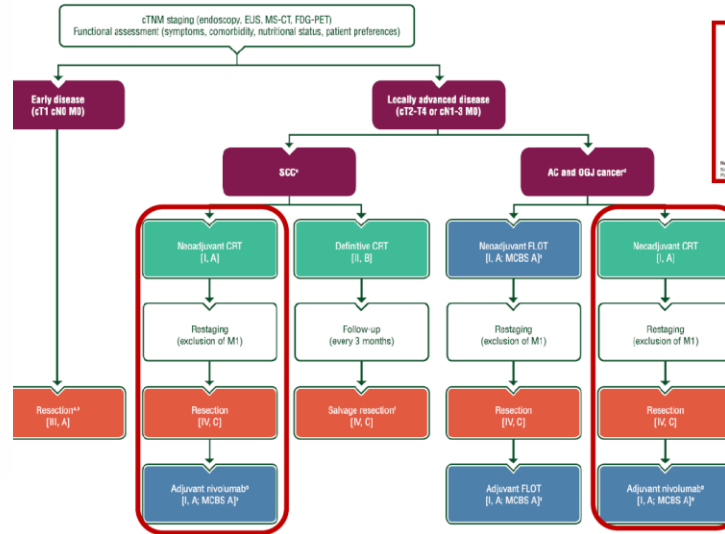
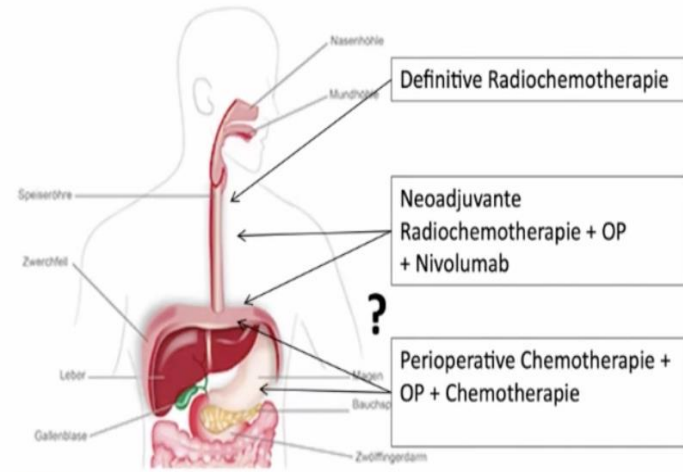
Level of Evidence: 1a-LOE1b LOE1b- LOE 2b

neoadjuvante Radiochemotherapie

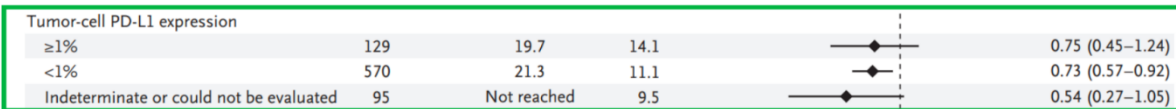
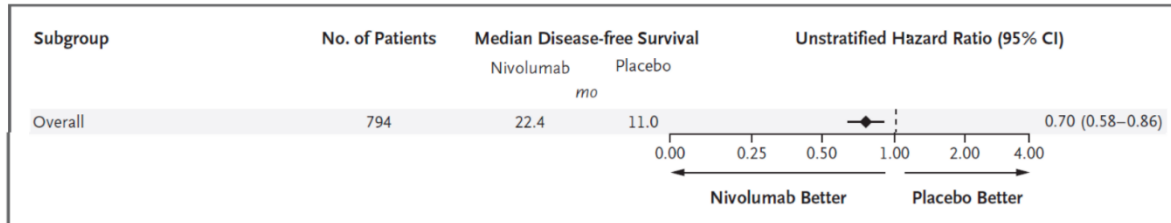
de Novo: [324, 326, 336, 338, 340 – 351]

Abstimmung im Plenum: Kein Konsens

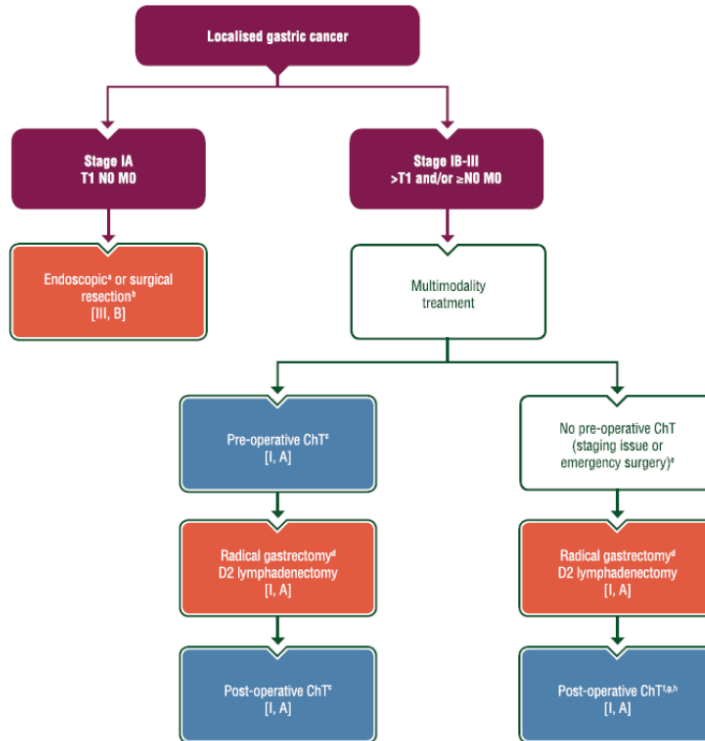
# Perioperative Behandlungs-Strategien: ESMO GUIDELINES Ösophagus Ca 2022



# Checkmate 577-Überleben nach PD-L1 Expression



# ESMO-Guidelines Magen Ca 2022



## Currently no immuno or targeted therapy

But multiple RCTs on the way, e.g.

### Immuno

- KEYNOTE-585 Pembrolizumab
- AIO-DANTE Atezolizumab
- MATTERHORN Durvalumab
- VESTIGE Nivolumab-Ipilimumab

### HER2

- PETRARCA Trastuzumab-Pertuzumab
- INNOVATION Trastuzumab-Pertuzumab



Lordick F, et al. *Ann Oncol* 2022



**PRINCIPLES OF SYSTEMIC THERAPY**

**Perioperative Chemotherapy**

**Preferred Regimens**

- Fluorouracil,<sup>a</sup> leucovorin, oxaliplatin, and docetaxel (FLOT)<sup>c</sup> (category 1)<sup>1</sup>
- Fluoropyrimidine and oxaliplatin<sup>a,b</sup>

**Other Recommended Regimens**

- Fluorouracil and cisplatin (category 1)<sup>2</sup>

**Preoperative Chemoradiation**

(Infusional fluorouracil<sup>a</sup> can be replaced with capecitabine)

**Preferred Regimens**

- None

**Other Recommended Regimens**

- Paclitaxel and carboplatin (category 2B)<sup>3</sup>
- Fluorouracil<sup>a</sup> and oxaliplatin (category 2B)<sup>4,5</sup>
- Fluorouracil and cisplatin (category 2B)<sup>6,7</sup>
- Fluoropyrimidine (fluorouracil or capecitabine) (category 2B)

**Neoadjuvant or Perioperative Immunotherapy**

**Useful in Certain Circumstances**

- MSI-H/dMMR tumors<sup>c</sup>
  - ▶ Nivolumab and ipilimumab followed by nivolumab<sup>d,8</sup>
  - ▶ Pembrolizumab<sup>d,9,10</sup>
  - ▶ Tremelimumab and durvalumab for neoadjuvant therapy only<sup>d,11,12</sup>

**Postoperative Chemoradiation**

(For patients who received less than a D2 lymph node dissection [[See Principles of Surgery \(GAST-C\)](#)])

- Fluoropyrimidine (infusional fluorouracil<sup>a</sup> or capecitabine) before and after fluoropyrimidine-based chemoradiation<sup>13</sup>

**Postoperative Chemotherapy**

(For patients who have undergone primary D2 lymph node dissection [[See Principles of Surgery \(GAST-C\)](#)])

**Preferred Regimens**

- Capecitabine and oxaliplatin<sup>e</sup> (category 1)<sup>14</sup>
- Fluorouracil<sup>a</sup> and oxaliplatin<sup>e</sup>

**Chemoradiation for Unresectable Disease**

(Infusional fluorouracil<sup>a</sup> can be replaced with capecitabine)

**Preferred Regimens**

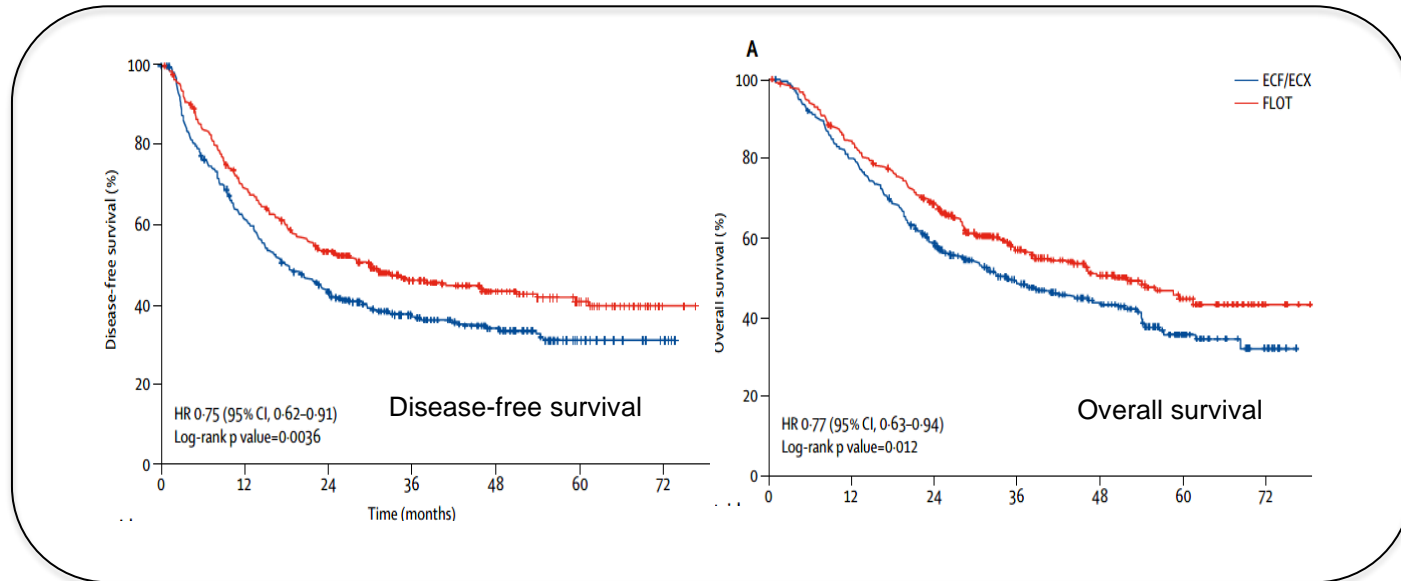
- Fluorouracil<sup>a</sup> and oxaliplatin<sup>4,5</sup>
- Fluorouracil and cisplatin<sup>6,7</sup>

**Other Recommended Regimens**

- Fluoropyrimidine (fluorouracil or capecitabine) and paclitaxel (category 2B)<sup>15</sup>

# Gastroösophageales Adenokarzinom: Standard – FLOT 4 Trial

Al-Batran S et al. Lancet 2019



**3-Jahres Überlebensrate FLOT vs. ECF: 57% vs. 48%**  
**Medianes Gesamtüberleben FLOT vs. ECF: 50 Monate vs. 35 Monate**

## Kardiakarzinom – Fall

### Patient

- 65 Jahre, männlich, keine pos. Familienanamnese für Krebs, ECOG 1

### Aktuelles Problem

- Dysphagie, Gewichtsverlust 10 kg (ca. 10% des KG)
- **ÖGD: Teilstenosierender Tumor des ösophago-gastralen Übergangs**
- **Histologie: mäßig differenziertes intestinales Karzinom, HER2-, PD-L1 CPS 10, MSS**
- CT Thorax / Abdomen: tumorös verdickte Kardia, V.a. peritumorale Lymphknoten, keine Fernmetastasen
- Staging: **uT3 uN+ cM0**

### Therapieempfehlung?

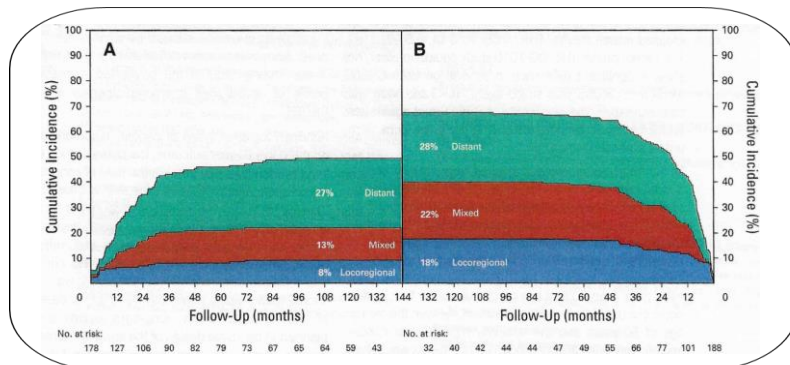
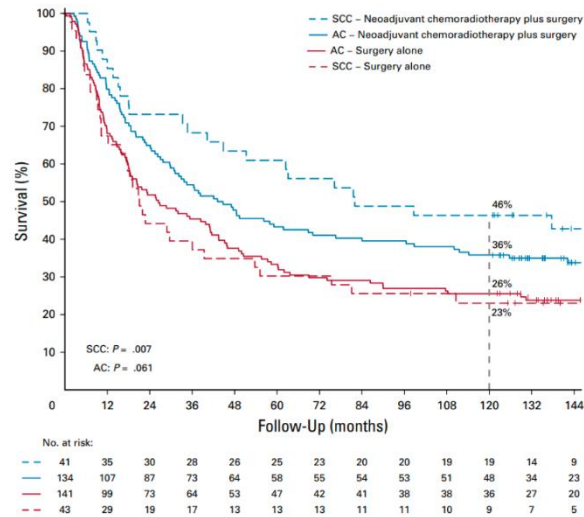
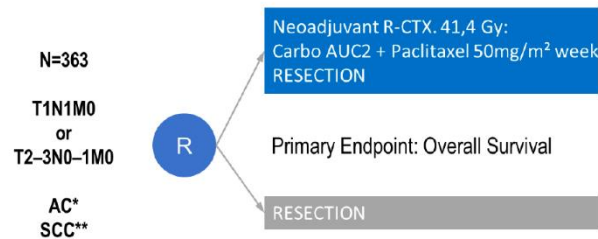


Illustration from  
Shutterstock.com

# Ösophagus- Cardia Karzinom: Ein Standard – CROSS Trial- update 2021

Eyck B J et al. J Clin Oncol 2021

## CROSS - Longtime-Follow-up



CROSS Effekt besonders lokal

Survival SCC @ 10 years

46% vs 23%

P = 0,007

Survival Adeno @ 10 years

36% vs. 26%

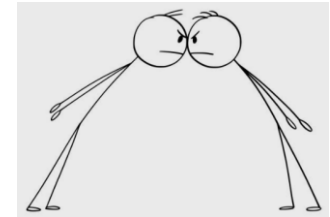
P = 0,061

# ASCO 2022 #4015 PROTECT Studie: Welche Backbone-CTX zur RCTX??

Preoperative chemoradiation (CRT) with carboplatin (CBP)/paclitaxel (PCL) (CP) or with 5-fluorouracil (FU)/oxaliplatin (OX) (Fx) for esophageal or junctional cancer:

A randomized phase 2 trial.

*Antoine Adenis et al, Montpellier, France*

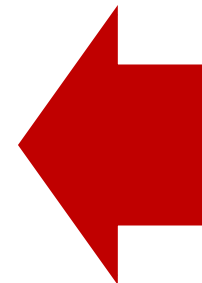
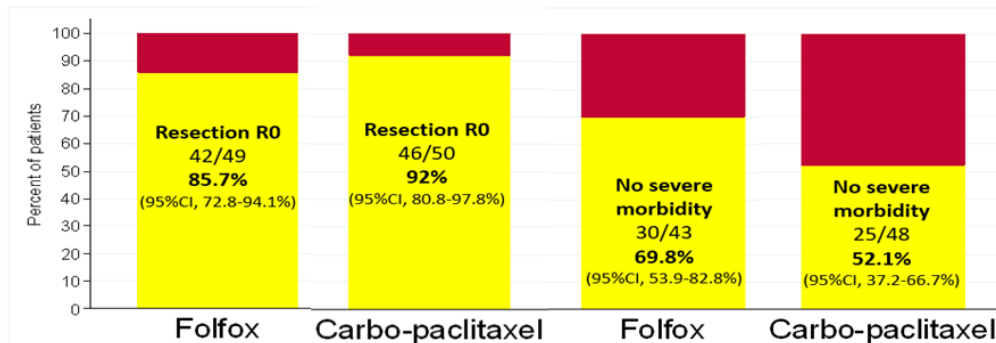


Randomized phase II, n=100

PACLITAXEL/ CARBOPLATIN versus FOLFOX

Co-primary Endpoints: R0 Resection and severe (Dindo  $\geq 3$  complications)

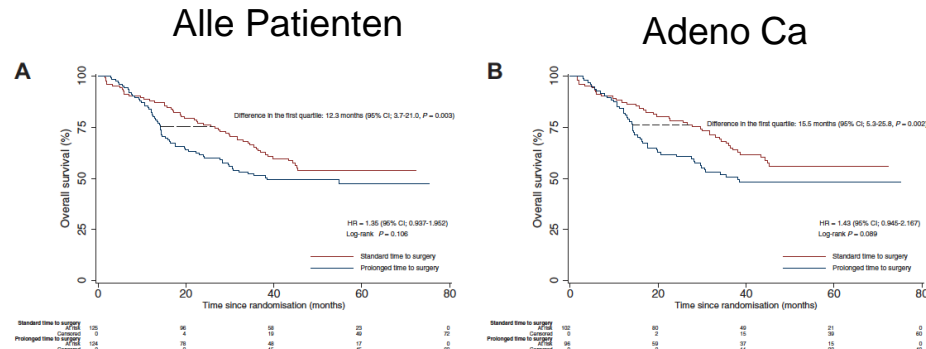
Result:



# Intervall zur Resektion nach RCTX: Standard (4-6 Wochen) vs. Prolonged Time (10-12 Wochen) bei AEG I/II? NeoRes Phase II Studie

|                                       | Standard time to surgery 4-6 weeks | Prolonged time to surgery 10-12 weeks |
|---------------------------------------|------------------------------------|---------------------------------------|
| Total, n (%)                          | 125 (100)                          | 124 (100)                             |
| Withdrawn consent, n (%)              | 2 (2)                              | 3 (2)                                 |
| Time to surgery, days/weeks           |                                    |                                       |
| Mean                                  | 40.2/5.7                           | 75.8/10.8                             |
| Median                                | 39.5/5.6                           | 75/10.7                               |
| Range, days                           | 26-102                             | 42-109                                |
| Interquartile range, days             | 34-42                              | 69-82                                 |
| Age, mean years (range)               | 65 (34-78)                         | 64 (42-79)                            |
| Sex, n (%)                            |                                    |                                       |
| Female                                | 22 (18)                            | 18 (15)                               |
| Male                                  | 103 (83)                           | 106 (86)                              |
| Smoking, n (%)                        |                                    |                                       |
| Smoker                                | 36 (29)                            | 37 (30)                               |
| Previous smoker <sup>a</sup>          | 54 (43)                            | 48 (39)                               |
| Nonsmoker                             | 32 (26)                            | 36 (29)                               |
| Missing data                          | 3 (2)                              | 3 (2)                                 |
| Alcohol consumption, n (%)            |                                    |                                       |
| Overconsumption                       | 5 (4)                              | 6 (5)                                 |
| Previous overconsumption <sup>b</sup> | 4 (3)                              | 2 (2)                                 |
| No known overconsumption              | 116 (93)                           | 116 (94)                              |
| Comorbidity, n (%)                    |                                    |                                       |
| Diabetes mellitus                     | 16 (13)                            | 20 (16)                               |
| Cardiovascular disease                | 46 (37)                            | 37 (30)                               |
| Chronic pulmonary disease             | 13 (10)                            | 8 (7)                                 |
| ECOG performance status, n (%)        |                                    |                                       |
| 0                                     | 98 (78)                            | 98 (79)                               |
| 1                                     | 25 (20)                            | 25 (20)                               |
| Missing data                          | 2 (2)                              | 1 (1)                                 |
| Tumour location, n (%)                |                                    |                                       |
| Oesophagus or junctional type I       | 93 (74)                            | 87 (70)                               |
| Junctional type II                    | 32 (26)                            | 36 (29)                               |
| Missing data                          | 0 (0)                              | 1 (1)                                 |
| Histology, n (%)                      |                                    |                                       |
| Adenocarcinoma                        | 102 (82)                           | 96 (77)                               |
| Squamous cell carcinoma               | 23 (18)                            | 28 (23)                               |
| Clinical T-stage, n (%)               |                                    |                                       |
| T1                                    | 2 (2)                              | 1 (1)                                 |
| T2                                    | 31 (25)                            | 29 (23)                               |
| T3                                    | 78 (62)                            | 81 (65)                               |
| T4a                                   | 14 (11)                            | 13 (11)                               |
| Clinical N-stage, n (%)               |                                    |                                       |
| N0                                    | 60 (48)                            | 47 (38)                               |
| N1                                    | 48 (38)                            | 57 (46)                               |
| N2                                    | 15 (12)                            | 16 (13)                               |
| N3                                    | 2 (2)                              | 4 (3)                                 |
| Surgical approach, n (%)              |                                    |                                       |
| Minimally invasive                    | 53 (42)                            | 50 (40)                               |
| Hybrid minimally invasive             | 43 (34)                            | 38 (31)                               |
| Open                                  | 21 (17)                            | 18 (15)                               |
| No resection                          | 6 (5)                              | 15 (12)                               |

## Gesamtüberleben



| Adenocarcinoma                                | Standard time to surgery | Prolonged time to surgery | P     |
|---|--------------------------|---------------------------|-------|
| Surgical resection, n (%)                     | 97 (95)                  | 82 (87)                   | 0.051 |
| Chiriac tumour regression grade, n (%)        |                          |                           | 0.179 |
| 1: No tumour cells <sup>c</sup>               | 20 (21)                  | 21 (26)                   | 0.429 |
| 2: 1%-10% tumour cells                        | 36 (37)                  | 18 (22)                   |       |
| 3: >10%-50% tumour cells                      | 23 (24)                  | 23 (28)                   |       |
| 4: >50% tumour cells                          | 18 (19)                  | 20 (24)                   |       |
| Resection margins (<1 mm), n (%)              |                          |                           | 0.662 |
| Free (R0)                                     | 95 (98)                  | 79 (96)                   |       |
| Involved (R1)                                 | 2 (2)                    | 3 (4)                     |       |
| Resected lymph nodes, median (IQR)            | 20 (14-28)               | 25 (16-33)                | 0.053 |
| Lymph node metastasis, n (%)                  |                          |                           | 0.924 |
| ypN0  | 61 (63)                  | 51 (62)                   |       |
| ypN1-3  | 36 (37)                  | 31 (38)                   |       |
| Number of lymph node metastases, median (IQR) | 0 (0-2)                  | 0 (0-1)                   | 0.937 |

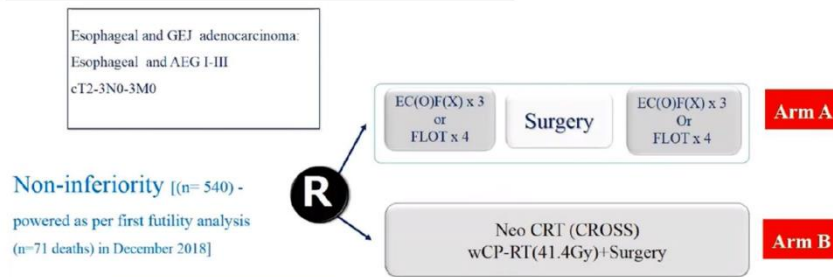
Kein Unterschied in pCR Rate (21% standard vs 26% prolonged) und anderen path. Kriterien aber schlechteres OS (26 vs 14 Monate) mit verlängerter Zeit bis zur Resektion

# Perioperative Chemotherapie versus RChT bei gastroösophagealen Übergangskarzinomen

| Trial   | N   | Key Eligibility Criteria                                     | Treatment   | Primary Endpoint |
|---|-----|--|---|------------------|
| <b>ESOPEC</b><br>NCT02509286<br>Germany                               | 438 | adenocarcinoma of the esophagus or GEJ                       | <b>CROSS vs. FLOT</b><br>PC/RTX → surgery<br>versus<br>FLOTx4 → surgery → FLOTx4                      | OS               |
| <b>NEO-AEGIS</b><br>NCT01726452<br>Ireland                            | 540 | adenocarcinoma of the esophagus or GEJ                       | <b>CROSS vs. MAGIC</b><br>PC/RTX → surgery<br>versus<br>ECFx3 or FLOTx4 → surgery → ECFx3 or FLOTx4   | OS               |
| <b>RACE</b><br>Germany  | 340 | adenocarcinoma of the esophagus or GEJ T3 or T4              | FLOTx2 + FU/Oxa/RTX → surgery → FLOTx4<br>versus<br>FLOTx4 → surgery → FLOTx4                         | PFS              |
| <b>TOP GEAR</b><br>NCT01924819<br>Australia/New Zealand/Europe/Canada | 570 | adenocarcinoma of the stomach or GEJ Siewert type II and III | ECFx2 or FLOT x3+ 5-FU/RTX → surgery → ECFx3<br>versus<br>ECFx3 or FLOTx4 → surgery → ECFx3 or FLOTx4 | OS               |

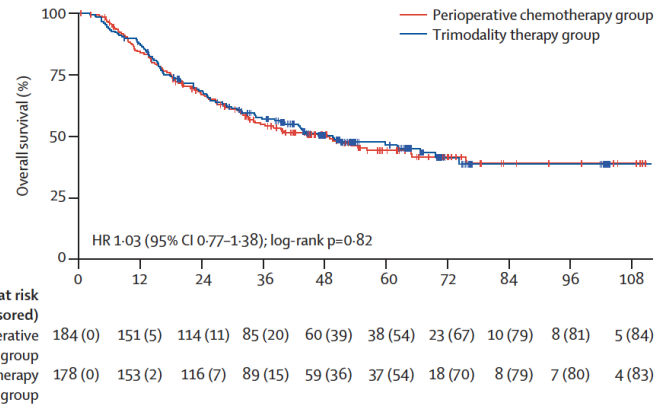
# Ösophagus/AEG Tumore: NeoAegis Studie: CTX vs. RCTX?

## NeoAegis



Primary endpoint: Overall survival

|  | <b>ARM A (Chemo)<br/>N = 184</b> | <b>ARM B (CROSS)<br/>N = 178</b> |
|--|----------------------------------|----------------------------------|
| Median (range) age   | 64 (35-83)                       | 64 (45-81)                       |
| Male   | 91.8%                            | 88.8%                            |
| MAGIC/FLOT   | 157 (85%)/27 (15%)               | -                                |
| cT3  | 84%                              | 84%                              |
| cN 1-3   | 60.3%                            | 56 %                             |
| <i>Radical en bloc</i> Transthoracic<br><u>Esophagectomy</u> | 75%                              | 80 %                             |
| <u>Transhiatal</u>   | 1.2%                             | 4.3%                             |



Nur 15% erhielten FLOT

Reynolds et al. ASCO 2021; #4004  
Lowery M. et al; #295 ASCO GI 2023  
Reynolds et al, Lancet Gastroenterol Hepatol  
2023 Sep 18:S2468-1253(23)00243-1

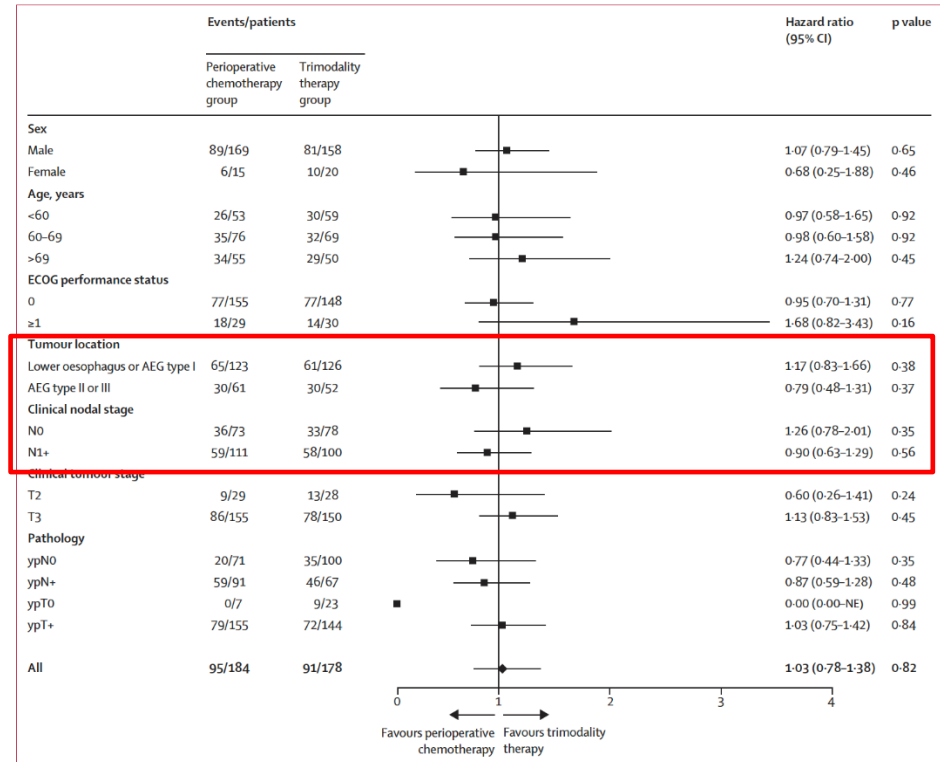


# Ösophagus Adeno Ca: NeoAegis Studie CTX vs. RCTX? Finale Ergebnisse: Ansprechen und Überleben

|                     | ARM A (Chemo) | ARM B (CROSS) |
|---------------------|---------------|---------------|
| ypN0                | 43.8%         | 60%           |
| ypT3                | 59.6%         | 52%           |
| R0                  | 82%           | 95%           |
| pCR                 | 5.1%          | 17.3%         |
| TRG1                | 5.1%          | 17.3%         |
| TRG 2               | 7.0%          | 24.7%         |
| Major Path Response | 12.1%         | 42%           |
| TRG 3               | 23.4%         | 32.1%         |
| TRG 4               | 41.6%         | 22.4%         |
| TRG 5               | 22.8%         | 3.8%          |

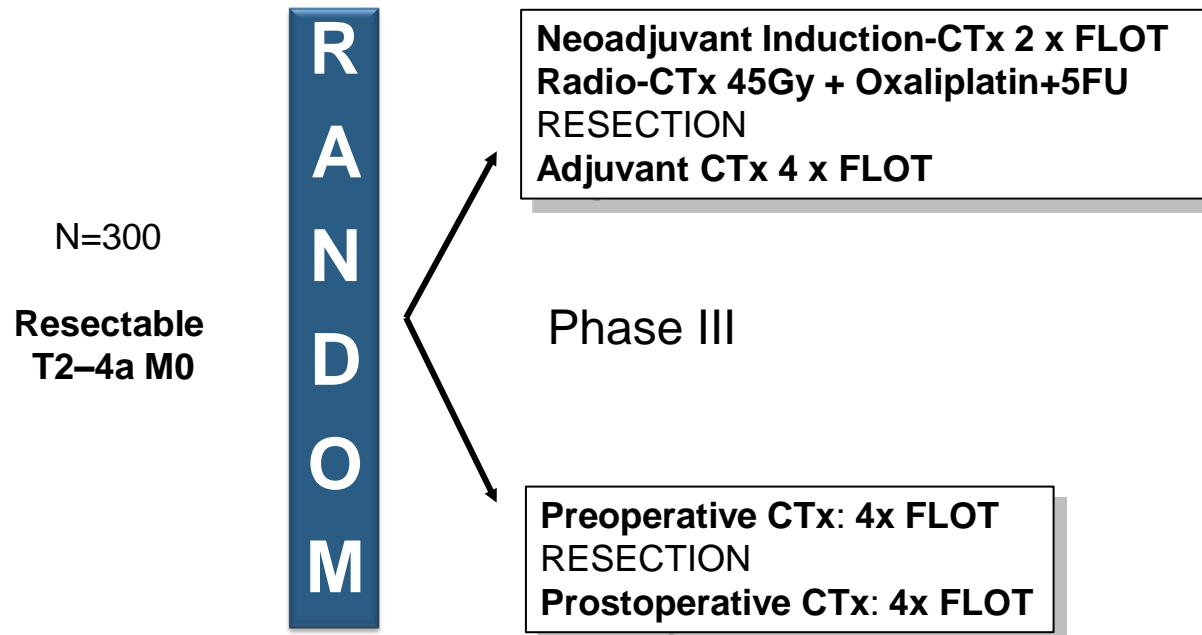
ypN0 (p=0.004)  
pCR (p=0.001)  
R0 (p< 0.001)  
TRG (p< 0.001)

|   | Perioperative chemotherapy group (n=162) | Trimodality therapy group (n=167) | p value |
|---|--|-----------------------------------|---------|
| Site of treatment failure (multiple sites possible per patient) |  |                                   |         |
| Systemic  | 49/184 (27%)                             | 58/178 (33%)                      | ..      |
| Liver   | 11/184 (6%)                              | 22/178 (12%)                      | 0.035   |
| Lung  | 13/184 (7%)                              | 24/178 (13%)                      | 0.044   |
| Bone  | 12/184 (7%)                              | 17/178 (10%)                      | ..      |
| Multiple sites  | 22/184 (12%)                             | 26/178 (15%)                      | ..      |
| Nodal non-regional  | 14/184 (8%)                              | 20/178 (11%)                      | ..      |
| Locoregional  | 27/184 (15%)                             | 34/178 (19%)                      | ..      |
| Anastomosis and oesophageal                                     | 17/184 (9%)                              | 21/178 (12%)                      | ..      |
| Stomach   | 6/184 (3%)                               | 2/178 (1%)                        | ..      |
| Regional nodes  | 15/184 (8%)                              | 17/178 (10%)                      | ..      |
| Missing   | 1/184 (1%)                               | 1/178 (1%)                        | ..      |



**Kein Gewinner!**  
 3-Jahres Überleben 55% (CTX) vs 57% (RCTX)  
 Bessere lokale Kontrolle mit RCTX (pCR, R0, nodales Downstaging)  
 Keine Unterschiede in der Komplikationsrate und post. OP  
 -> Kein Unterschied in Rezidivrate- und Lokalisation

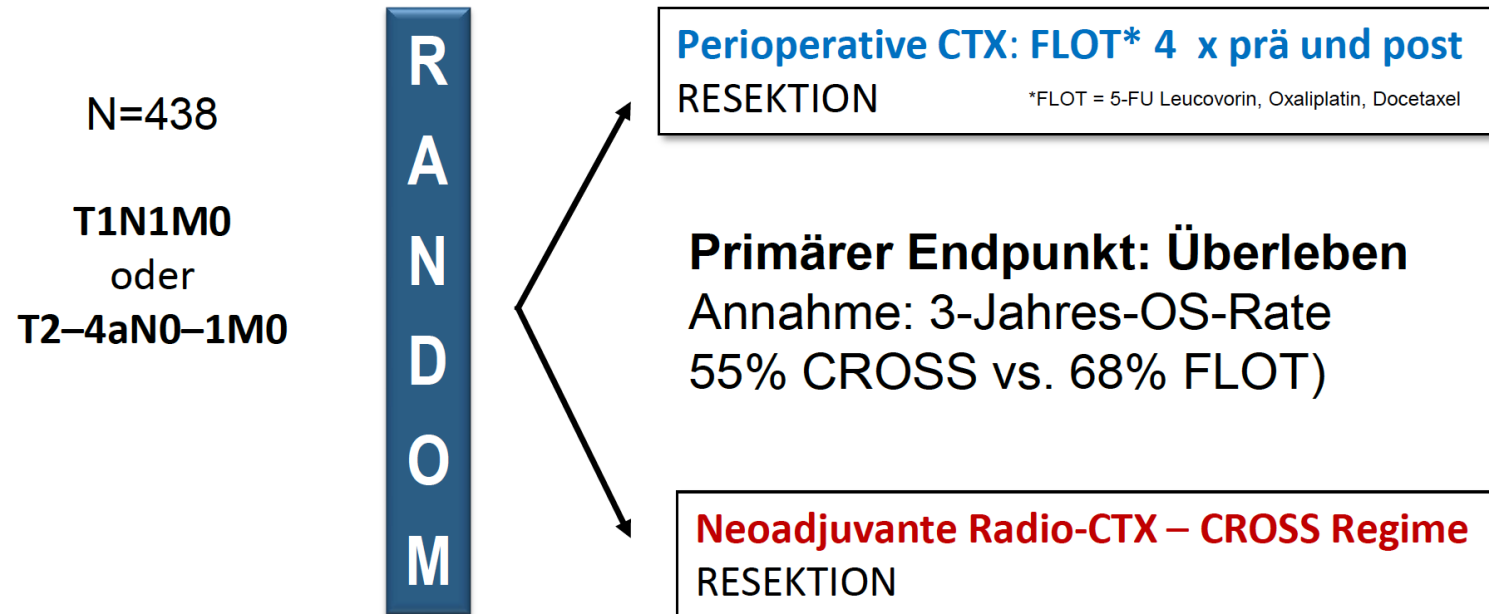
# Laufende Studien- AIO-RACE



Lorenzen, Hofheinz et al, BMC Cancer. 2020 Sep 15;20(1):886

## Laufende Studie: ESOPEC

ESOPEC Rekrutierungsziel erreicht



Hoepfner J, Lordick F. et al. *BMC Cancer* 2016; 16: 503

# Rolle der IO-Therapie in der adjuvanten/neoadjuvanten Behandlung des lokal fortgeschrittenen gastroösophagealen Adenokarzinoms

Adjuvanz:

- CM577
- Vestige
- ATTRACTION 5

Neoadjuvanz/Adjuvanz:

- DANTE
- KEYNOTE 585
- MATTERHORN

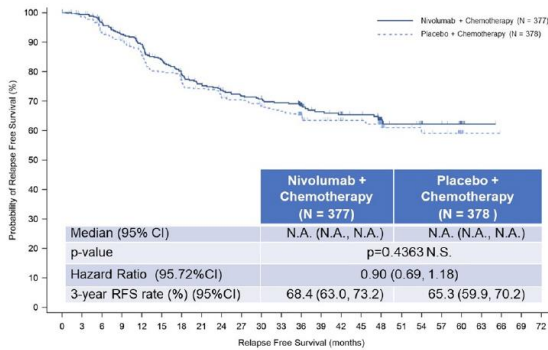
# Rolle der IO-Therapie in der adjuvanten/neoadjuvanten Behandlung des lokal fortgeschrittenen gastroösophagealen Adenokarzinoms

Adjuvant:

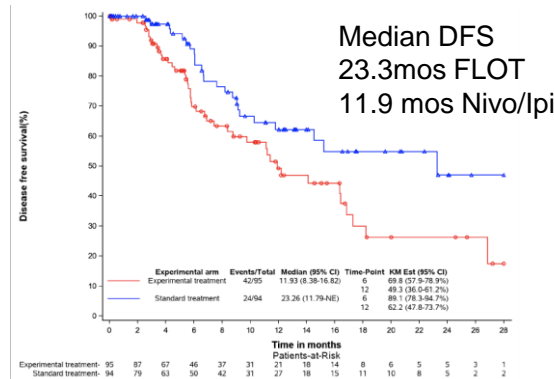
- CM577
- Vestige
- ATTRACTION 5

# Studien in der Adjuvanz: Verbessert Immuntherapie das Überleben

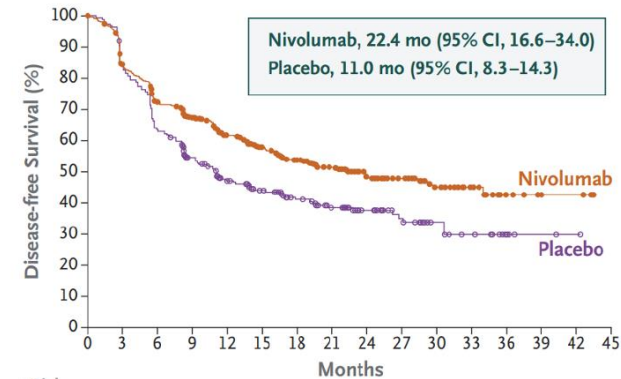
**ATTRACTION 5**  
Terashima et al ASCO 2023



**VESTIGE**  
Smith et al ESMO GI 2023



**KN577**  
Kelly et al NEJM 2022  
Disease-free Survival in the Overall Population



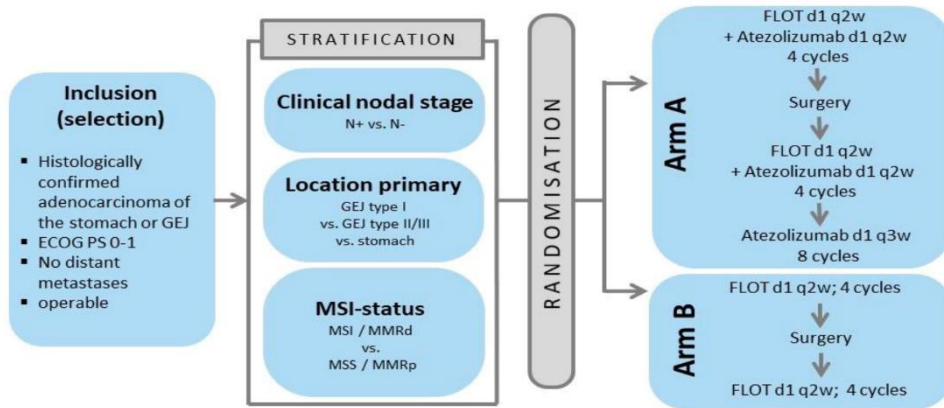
Kein Vorteil der IO Therapie bei unselektierten Patienten mit Hoch-Risiko Tumoren (N+)

# Rolle der IO-Therapie in der adjuvanten/neoadjuvanten Behandlung des lokal fortgeschrittenen gastroösophagealen Adenokarzinoms

Neoadjuvant/Adjuvant:

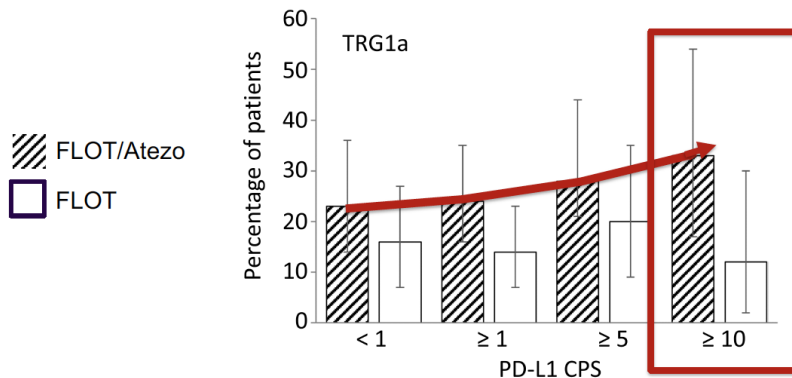
- DANTE
- KEYNOTE 585
- MATTERHORN

# Perioperativ DANTE IKFs633 Studie: FLOT+/-Atezolizumab



| Pathological Regression<br>FLOT + Atezolizumab (arm A) vs. FLOT (arm B) | Becker Classification |          |                      |          |
|---|-----------------------|----------|----------------------|----------|
|   | TRG1a <sup>1</sup>    |          | TRG1a/b <sup>2</sup> |          |
|   | A                     | B        | A                    | B        |
| All patients (N= 295; 146 149)  | 35 (24%)              | 23 (15%) | 71 (49%)             | 58 (39%) |
| PD-L1 CPS ≥1 (N=170; 82 88)   | 20 (24%)              | 13 (15%) | 42 (51%)             | 40 (46%) |
| PD-L1 CPS ≥5 (N=81; 40 41)  | 11 (28%)              | 8 (20%)  | 22 (55%)             | 18 (44%) |
| PD-L1 CPS ≥10 (N=53; 27 26)   | 9 (33%)               | 3 (12%)  | 18 (67%)             | 10 (39%) |
| MSI high (N=23; 8 15)   | 5 (63%)               | 4 (27%)  | 6 (75%)              | 7 (47%)  |

pCR nach PD-L1 CPS Expression



|           | FLOT + Atezolizumab (N=146) |     | FLOT (N=149) |     |
|-----------|-----------------------------|-----|--------------|-----|
| pT0-stage | 34                          | 23% | 22           | 15% |
| pN0-stage | 100                         | 69% | 81           | 54% |
| pT0/N0    | 34                          | 23% | 21           | 14% |
| pT-stage  |                             |     |              |     |
| ≤T1       | 62                          | 43% | 55           | 37% |
| T2        | 27                          | 19% | 16           | 11% |
| T3        | 47                          | 32% | 61           | 41% |
| T4        | 4                           | 3%  | 10           | 7%  |
| pT0-T2    | 89                          | 61% | 71           | 48% |
| pT3-T4    | 51                          | 35% | 71           | 48% |
| pM1-stage | 2                           | 1%  | 4            | 3%  |

Kopp et al., ESMO 2021 1430P  
 Al-Batran et al., J Clin Oncol 40, 2022 (suppl 16; abstr 4003)  
 Lorenzen et al JCO 2023 accepted



# Laufende Phase III Studien zu Checkpoint Inhibitoren als perioperative Therapie in gastroösophagealen Adenokarzinomen

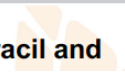
| Trial  | N                 | Key eligibility criteria                             | Treatment   | Primary endpoint                      |
|--|-------------------|--|---|---------------------------------------|
| <b>DANTE/FLOT8</b><br>Phase 2/3<br>NCT03421288<br>IKF-s633 | 295/556           | ≥T2 or N+ gastric and GEJ cancer, (phase3, PD-L1 >1) | Perioperative FLOT +/- <b>atezolizumab</b>                | Phase 2: pCR/<br>pTNM<br>Phase 3: EFS |
| <b>KEYNOTE 585</b><br>Phase 3<br>NCT03221426<br>MSD        | 1007 <sup>3</sup> | >T3 or N+ gastric and GEJ cancer                     | Perioperative FP/XP (or FLOT) +/-<br><b>pembrolizumab</b> | pCR, EFS, OS                          |
| <b>MATTERHORN</b><br>Phase 3<br>D910GC00001<br>AstraZeneca | 900               | T3–4 or N+ gastric and GEJ cancer                    | Perioperative FLOT +/- <b>durvalumab</b>                  | EFS                                   |

pCR and pTN improved

pCR improved, EFS not

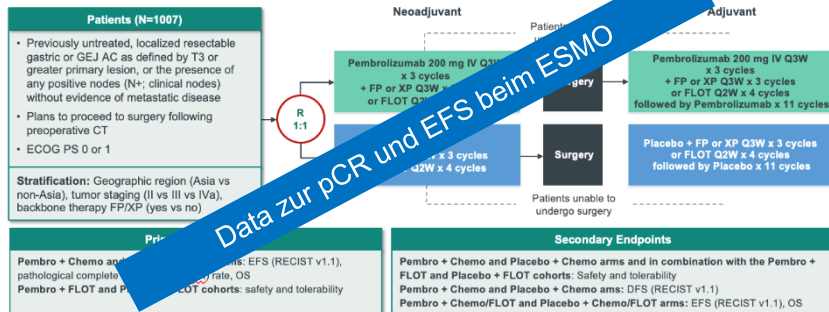
pCR improved

CPI, check-point inhibitor; EFS, event-free survival; FLOT, fluorouracil plus leucovorin, oxaliplatin, and docetaxel; FP, fluorouracil and cisplatin; GEJ, gastroesophageal junction; N, node; OS, overall survival; pCR, pathologic complete response; PFS, progression-free survival; T, tumor; XP, capecitabine and cisplatin.

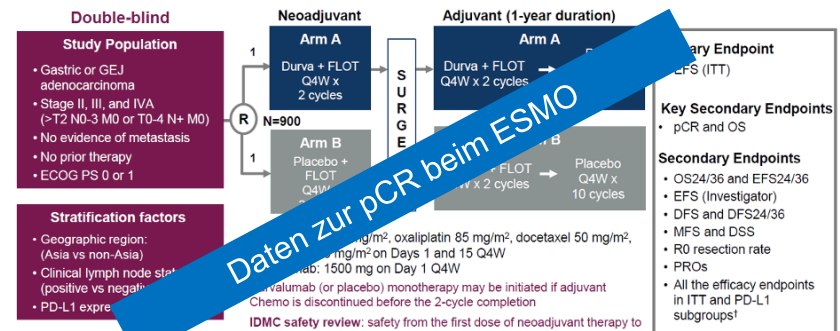


# Phase III Studien KEYNOTE 585 und MATTERHORN

A Phase III, Randomized, Double-Blind, Clinical Trial of Pembrolizumab Plus Chemotherapy (XP or FP) versus Placebo Plus Chemotherapy (XP or FP) as Neoadjuvant/Adjuvant Treatment for Subjects With Gastric and Gastroesophageal Junction (GEJ) Adenocarcinoma (KEYNOTE-585)



Data zur pCR und EFS beim ESMO



Daten zur pCR beim ESMO

**RAHWAY, N.J., June 20, 2023--(BUSINESS WIRE)--**Merck (NYSE: MRK), known as MSD outside of the United States and Canada, today announced topline results from the Phase 3 KEYNOTE-585 trial, investigating KEYTRUDA, Merck's anti-PD-1 therapy, in combination with chemotherapy as neoadjuvant treatment, followed by adjuvant treatment with KEYTRUDA plus chemotherapy, then KEYTRUDA monotherapy in patients with locally advanced resectable gastric and gastroesophageal junction (GEJ) adenocarcinoma. At a pre-specified interim analysis conducted by an independent Data Monitoring Committee, the study met one of its primary endpoints of pathological complete response (pCR) rate and demonstrated a statistically significant improvement in pCR rates compared with chemotherapy alone. For the primary endpoint of event-free survival (EFS), there was an improvement in the KEYTRUDA arm; however, results did not meet statistical significance per the pre-specified statistical analysis plan. The endpoint of overall survival (OS) was not formally tested since superiority was not reached for EFS. The safety profile of KEYTRUDA in this trial was consistent with that observed in previously reported studies. Results will be presented at an upcoming medical meeting.

AstraZeneca \*TIP<1% vs TIP21%  
‡TIP<1% vs TIP21% vs TIP25%



02 Jun 2023

Dear Investigators,

We are delighted to inform you of the positive high-level results from a planned interim analysis of the MATTERHORN Phase III trial that showed treatment with durvalumab added to standard-of-care FLOT chemotherapy before surgery demonstrated a statistically significant improvement in the secondary endpoint of pathological complete response (pCR) versus neoadjuvant chemotherapy alone for patients with resectable, early-stage and locally advanced (Stages II, III, IVA) gastric and gastroesophageal junction cancers.

The safety and tolerability of adding durvalumab to neoadjuvant FLOT chemotherapy was consistent with the known profile of this combination and no new safety signals were observed. The trial will continue as planned to assess the primary endpoint of event-free survival (EFS) to which the study team, investigators, and participants remain blinded. The data from the MATTERHORN Study will be presented at a forthcoming conference. Thank you for your belief and dedication to this important trial.

# Stellenwert der IO Therapie in der Adjuvanz/Neoadjuvanz bei lokal fortgeschrittenen Magenkarzinomen und AEG

## Adjuvanz:

- CM577 DFS ✓
- Vestige DFS ✗
- ATTRACTION 5 RFS ✗

## Neoadjuvanz/Adjuvanz:

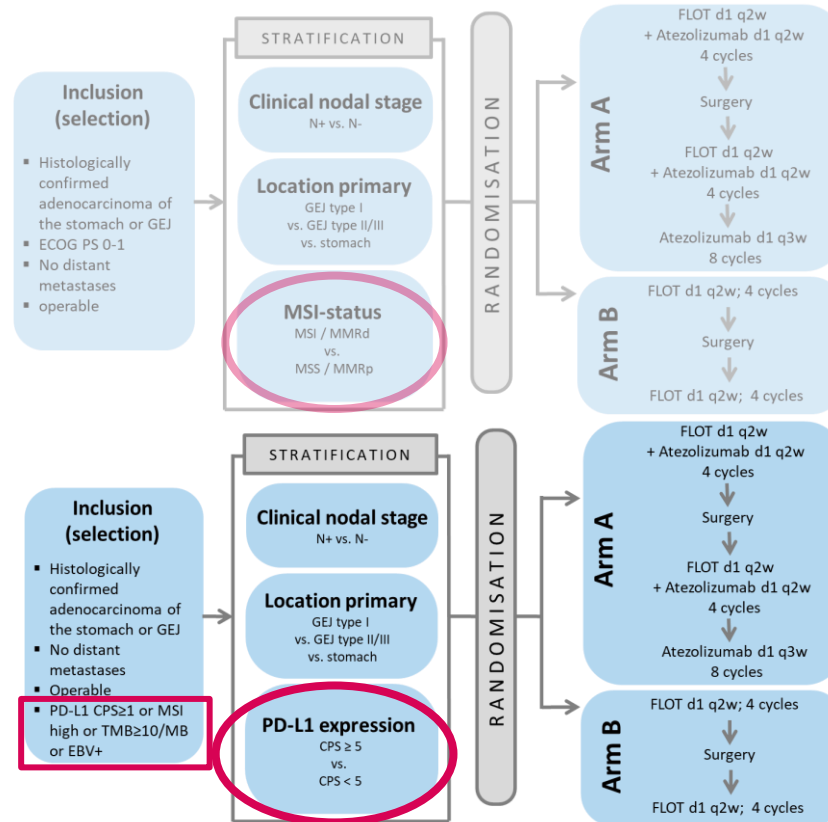
- DANTE pCR/pTNM ✓
- KEYNOTE 585 pCR ✓
- MATTERHORN pCR ✓ EFS ✗

No trial showed an improvement in survival yet

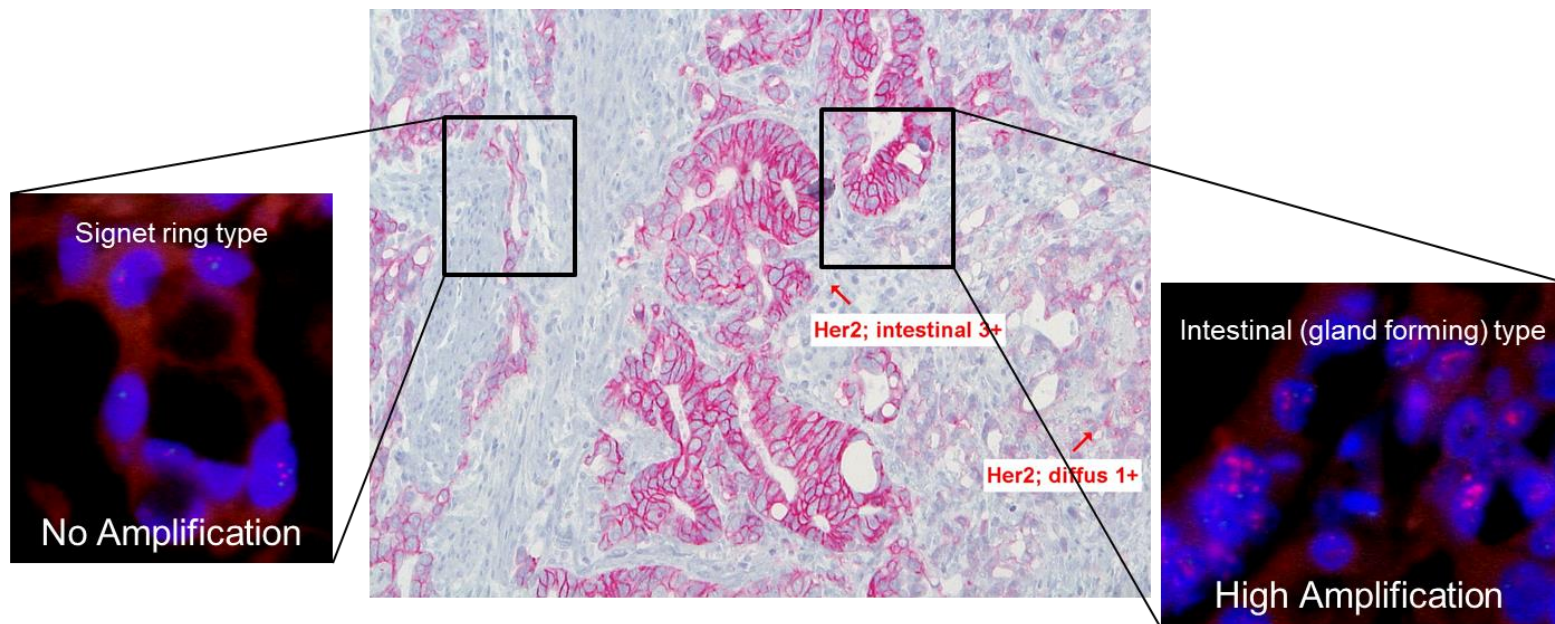
# DANTE Studien Design



## Phase II



# Das lokal fortgeschrittene Her-2 positive gastroösophageale Adenokarzinom



## Kardiakarzinom – Fall

### Patient

- 65 Jahre, männlich, keine pos. Familienanamnese für Krebs, ECOG 1

### Aktuelles Problem

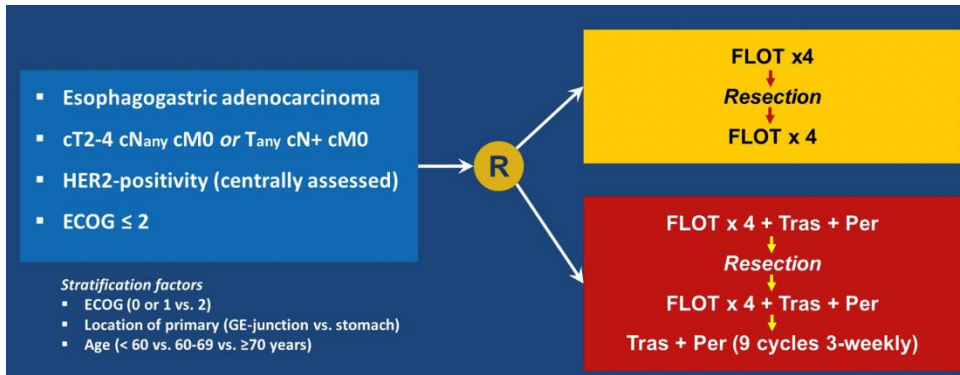
- Dysphagie, Gewichtsverlust 10 kg (ca. 10% des KG)
- **ÖGD: Teilstenosierender Tumor des ösophago-gastralen Übergangs**
- **Histologie: mäßig differenziertes intestinales Karzinom, HER2 + PD-L1 CPS 10, MSS**
- CT Thorax / Abdomen: tumorös verdickte Kardia, V.a. peritumorale Lymphknoten, keine Fernmetastasen
- Staging: **uT3 uN+ cM0**

### Therapieempfehlung?

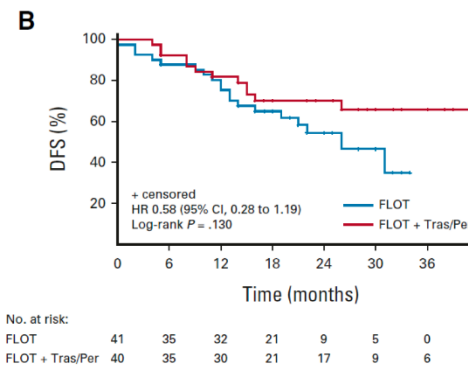
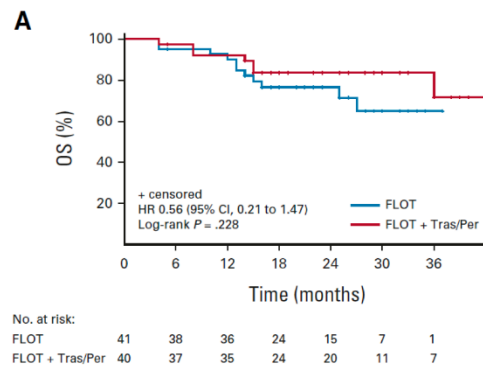


Illustration from  
Shutterstock.com

# Anti-Her 2 plus Chemotherapie: AIO PETRARCA Studie



| ypT-stage | FLOT<br>N = 41 | FLOT + Tras / Per<br>N = 40 | P-value  |
|-----------|----------------|-----------------------------|----------|
| ≤T1       | 11 (27%)       | 17 (43%)                    | p = 0.02 |
| T2        | 9 (22%)        | 8 (20%)                     |          |
| T3        | 17 (41%)       | 14 (35%)                    |          |
| T4        | 3 (7%)         | 0 (0%)                      |          |
| N0        | 16 (39%)       | 27 (68%)                    |          |
| pCR       | 5 (12%)        | 14 (35%)                    |          |



Hofheinz et al; JCO 2022

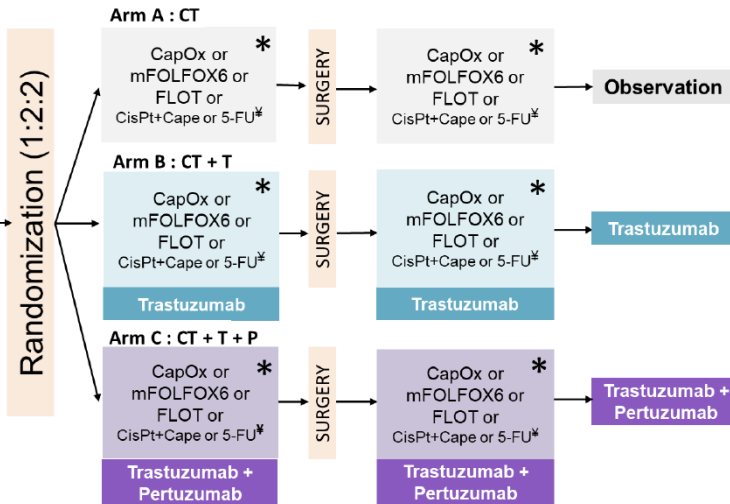
Die Zugabe von Trastuzumab und Pertuzumab zu FLOT verbessert signifikant die pathologische Remission und die Rate nodal negativer Patienten bei resezierbarem HER2+ EGA  
 Vermehrt Rate and ≥ Grad 3 Diarrhö (41%) und Leukopenie (23%) unter Zugabe von Trastuzumab+Pertuzumab

# Integration of trastuzumab (T), with or without pertuzumab (P), into perioperative chemotherapy (CT) of HER-2 positive gastric (GC) and esophagogastric junction cancer (EGJC)

First results of the EORTC 1203 "INNOVATION" Study, in collaboration with the Korean Cancer Study Group (KCSG) and the Dutch Upper GI Cancer Group (DUCG)

A.D. Wagner, H.I. Grabsch, M.E. Mauer, R.U. Fumagalli, Y.-K. Kang, O. Bouche, S. Lorenzen, M. Moehler, P. Thuss-Patience, A. Elme, G. Folprecht, U.M. Martens, D. Smith, M.d C. Galan Guzman, M. Ducreux, M. Diez Garcia, G. Piessen, S.Y. Rha, M. Collienne, F. Lordick

- KEY ELIGIBILITY CRITERIA**
- HER-2 positive<sup>a</sup> gastric cancer and esophagogastric junction cancer
  - Amenable to gastrectomy/oesophagectomy with curative intent as confirmed by a multidisciplinary team discussion
  - UICC (Edition 7th) tumor stage Ib to III, as defined by CT scan and/or MRI
  - WHO PS 0 – 1



Statistik: Verbesserung des primären Endpunktes mpRR (<10%vital Tumorzellen) von 25% mit CT auf 45% mit CT+T+P und CT+T

|                             | Treatment arm (Per protocol population) |               |                   |
|-----------------------------|---|---------------|-------------------|
|                             | CT (N=53)                               | CT + T (N=64) | CT + T + P (N=64) |
| Age (years), Median (Range) | 63 (32-79)                              | 63 (36-84)    | 64 (42-78)        |
| Sex, N (%)                  |   |               |                   |
| Male                        | 31 (93.9)                               | 43 (67.2)     | 57 (89.1)         |
| Female                      | 2 (6.1)                                 | 21 (32.8)     | 7 (10.9)          |
| Tumor localization, N (%)   |   |               |                   |
| Stomach                     | 12 (36.4)                               | 26 (40.6)     | 23 (35.9)         |
| Esophagogastric junction    | 21 (63.6)                               | 38 (59.4)     | 41 (64.1)         |
| Histological subtype, N (%) |   |               |                   |
| Intestinal                  | 25 (75.8)                               | 45 (70.3)     | 46 (71.9)         |
| Non-intestinal              | 8 (24.2)                                | 19 (29.7)     | 18 (28.1)         |
| Region, N (%)               |   |               |                   |
| Asia                        | 4 (12.1)                                | 7 (10.9)      | 5 (7.8)           |
| Europe                      | 29 (87.9)                               | 57 (89.1)     | 59 (92.2)         |
| HER-2 status, N (%)         |   |               |                   |
| IHC2+/FISH+                 | 4 (12.1)                                | 16 (25.0)     | 16 (25.0)         |
| HER-2 IHC 3+                | 29 (87.9)                               | 48 (75.0)     | 48 (75.0)         |

Ca. 45% FLOT



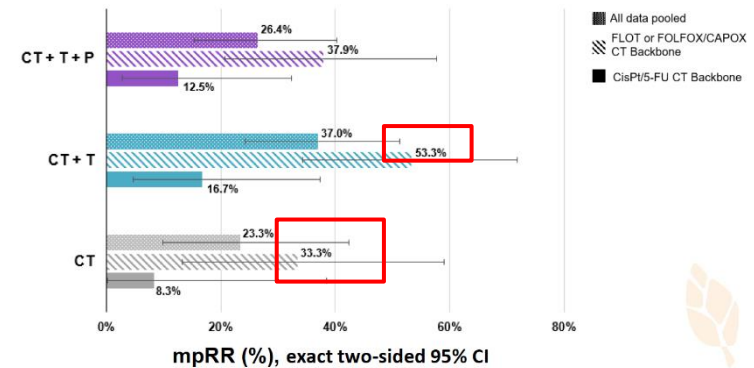
# Phase II EORTC 1203 INNOVATION Studie: Primärer Endpunkt mpRR

|  |     | Per protocol population – Treatment arm |                  |                      |
|--|-----|---|------------------|----------------------|
|  |     | CT<br>(N=33)                            | CT + T<br>(N=64) | CT + T + P<br>(N=64) |
| Major pathological response, N (%)         |     | N=30                                    | N=54             | N=53                 |
|  | Yes | 7 (23.3)                                | 20 (37.0)        | 14 (26.4)            |
| Final Becker tumor regression grade, N (%) |     | N=26                                    | N=53             | N=48                 |
|  | 0   | 1 (3.8)                                 | 8 (15.1)         | 3 (6.3)              |
|  | 1   | 6 (23.1)                                | 12 (22.6)        | 11 (22.9)            |
|  | 2   | 9 (34.6)                                | 8 (15.1)         | 14 (29.2)            |
|  | 3   | 10 (38.5)                               | 25 (47.2)        | 20 (41.7)            |
| Surgery performed, N (%)                   |     |   |                  |                      |
|  | Yes | 28 (84.8)                               | 63 (98.4)        | 59 (92.2)            |

For 24 patients operated, sample was missing or judged by the central reviewers of insufficient quality  
Patients not operated (n=10) were considered as failures for mpRR

|   | CT + T               | CT + T + P          |
|---|----------------------|---------------------|
| Difference in mpRR between each experimental arm and CT arm [asymptotic two-sided 80% CI] | 13.7% [ 0.7%, 26.7%] | 3.1% [-9.5%, 15.7%] |

mpRR according to CTX backbone



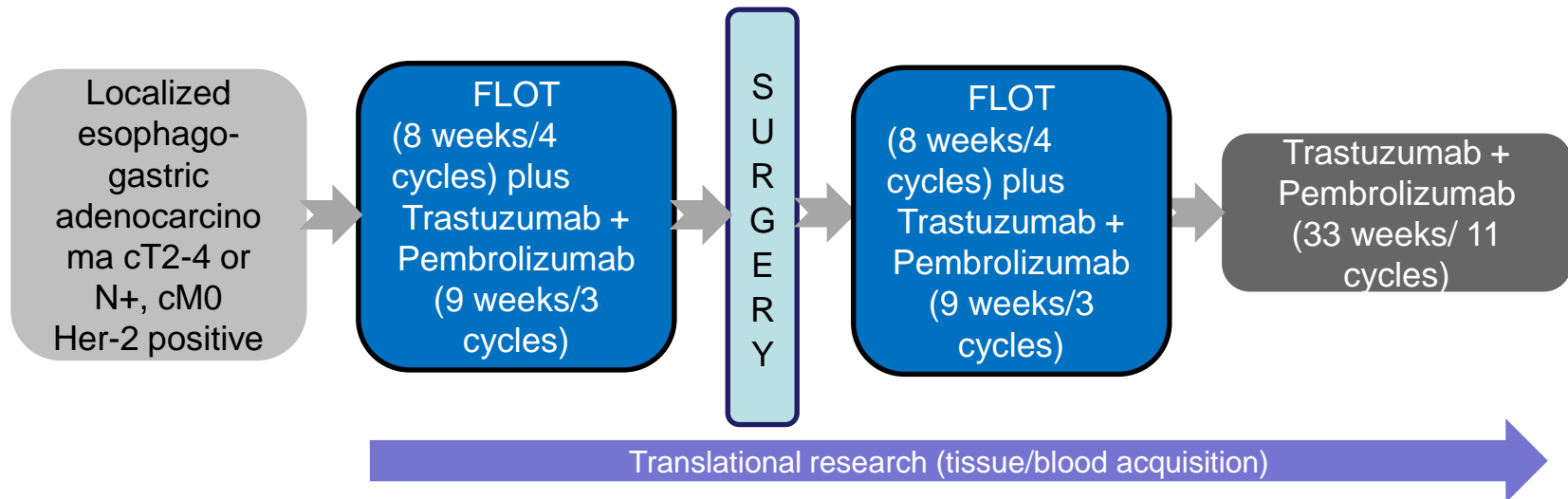
FLOT +/- T/P (AIO PETRARCA) 12% -> 35%

**Fazit: primärer Endpunkt nicht erreicht (mpRR von 25->45% in PPP!**  
 Vielversprechende Ergebnisse für FLOT plus Trastuzumab mit 20%iger  
 Verbesserung der mpRR gegenüber CTX alleine (53% vs 33%) und  
 verbessertes R0 Resektionsrate um 15%  
 Zusätzliche Gabe von Pertuzumab erhöhte die Nebenwirkungen (22% >Grad  
 3 Diarrhoe)  
 EFS/OS ausstehend

# AIO – PHERFLOT Studie

## Studiendesign

1° endpoint (co-primary): pCR/DFS rate@2y  
 2° endpoint: feasibility, toxicity, DFS, R0 resection, OS



## Kardiakarzinom – Fall

### Patient

- 65 Jahre, männlich, keine pos. Familienanamnese für Krebs, ECOG 1

### Aktuelles Problem

- Dysphagie, Gewichtsverlust 10 kg (ca. 10% des KG)
- **ÖGD: Teilstenosierender Tumor des ösophago-gastralen Übergangs**
- **Histologie: mäßig differenziertes intestinales Karzinom, HER2-, PD-L1 CPS 10, MSI**
- CT Thorax / Abdomen: tumorös verdickte Kardia, V.a. peritumorale Lymphknoten, keine Fernmetastasen
- Staging: **uT3 uN+ cM0**

### Therapieempfehlung?



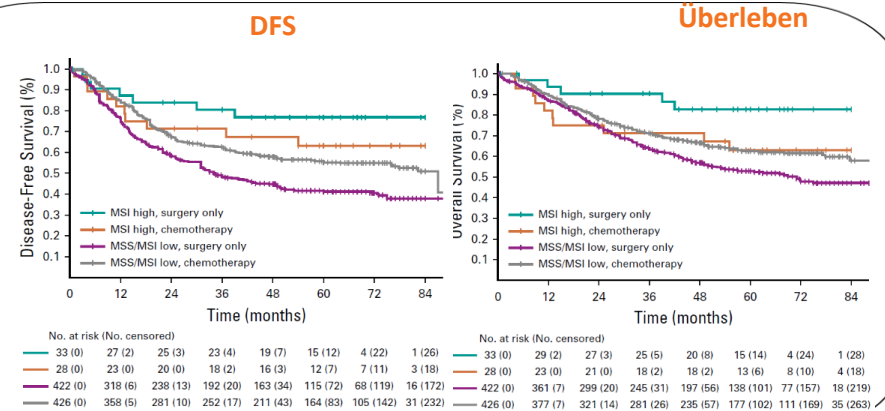
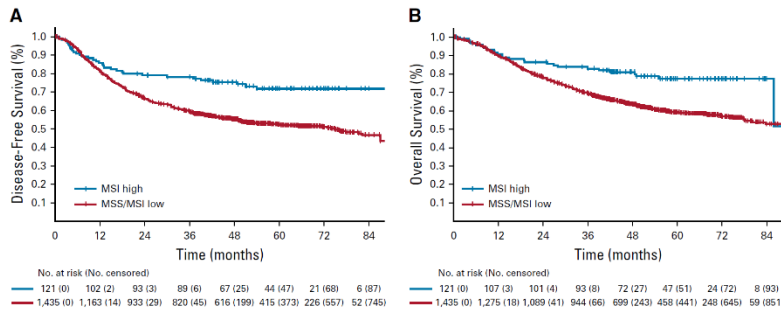
Illustration from  
Shutterstock.com

# Localisiertes MSI high Adenokarzinom

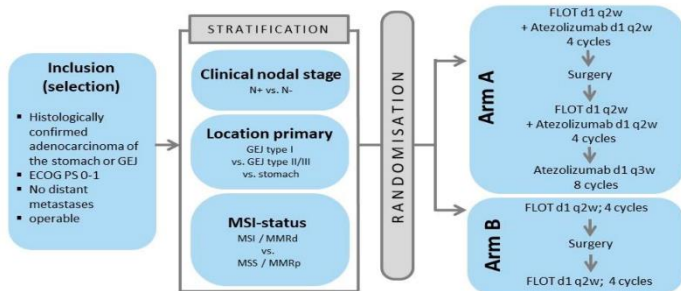
## Gute Prognose nach Resektion

### Kein Vorteil von der Chemotherapie?

Pietrantonio F et al. J Clin Oncol 2019

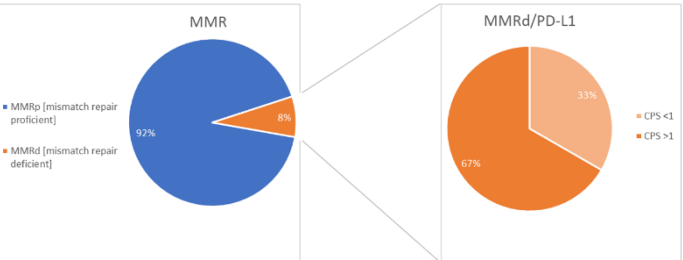


# Histopathologische Regression nach PD-L1-Expression



|   | Arm A: FLOT + Atezolizumab (n=146) | Arm B: FLOT (n=149) |
|---|------------------------------------|---------------------|
| <b>Regression grading according to Becker</b> |                                    |                     |
| Complete response (no residual tumor)         | 35 (24%)                           | 23 (15%)            |
| Subtotal response (<10% residual tumor)       | 36 (25%)                           | 35 (24%)            |
| Partial response (10%-50% residual tumor)     | 40 (27%)                           | 37 (25%)            |
| Minor response (>50% residual tumor)          | 27 (19%)                           | 40 (27%)            |
| No response                                   | 2 (1%)                             | 7 (5%)              |
| Missing                                       | 6 (4%)                             | 7 (5%)              |

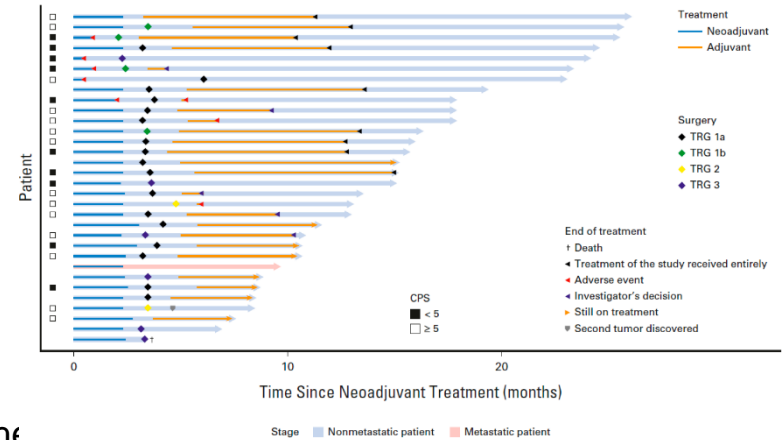
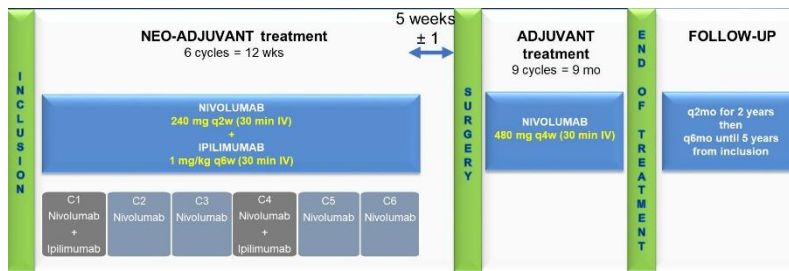
| <b>Regressing grading in subgroups</b>   |                              |                              |
|--|------------------------------|------------------------------|
| < CPS 1 (n=64/58): CR & CR/SR            | 15 (23%) & 29 (45%)          | 9 (16%) & 17 (29%)           |
| ≥ CPS 1 (n=82/88): CR & CR/SR            | 20 (24%) & 42 (51%)          | 13 (15%) & 40 (31%)          |
| ≥ CPS 5 (n=40/41): CR & CR/SR            | 11 (28%) & 22 (56%)          | 8 (20%) & 18 (44%)           |
| ≥ CPS 10 (n=27/26): CR & CR/SR           | 9 (33%) & 18 (67%)           | 3 (12%) & 10 (39%)           |
| <b>MSI/MMRd (n=8/15): CR &amp; CR/SR</b> | <b>5 (63%) &amp; 6 (76%)</b> | <b>4 (27%) &amp; 7 (47%)</b> |



8% were dMMR-positive and 60% PD-L1-positive (CPS ≥ 1). dMMR appears to increase with age and is higher in gastric Gastric Ca and intestinal/diffuse subtype

Prof. Dr. Salah-Eddin Al-Batran

# NEONIPIGA: MSI-high/dMMR – Neoadjuvant Nivolumab + Ipilimumab



## Tumorstadium nach neoadjuvanter Tx (n=29)

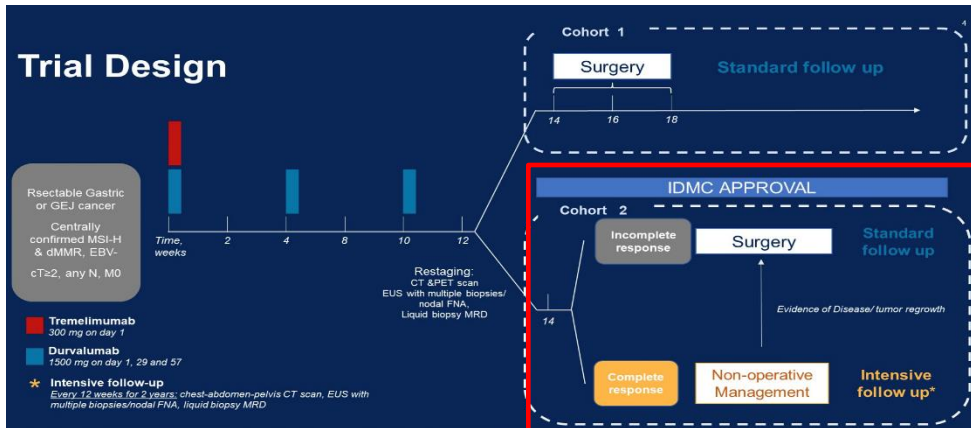
| Characteristic | Patients (n = 29), No. (%) |
|----------------|----------------------------|
| ypT stage      |                            |
| ypT0           | 19 (65) <sup>a</sup>       |
| ypT1a          | 1 (3)                      |
| ypT1b          | 2 (7)                      |
| ypT2           | 2 (7)                      |
| ypT3           | 5 (17)                     |
| ypN stage      |                            |
| ypN0           | 23 (79)                    |
| ypN1           | 6 (21)                     |

## Histologische Ansprechen Tx

|   |                     |
|---|---------------------|
| TRG Mandard   |                     |
| TRG 1: complete regression/fibrosis without tumor cells       | 17 (59)             |
| TRG 2: fibrosis with scattered tumor cells                    | 4 (14) <sup>a</sup> |
| TRG 3: fibrosis and tumor cells with a dominance of fibrosis  | 2 (7)               |
| TRG 4: fibrosis and tumor cells with dominance of tumor cells | 4 (14)              |
| TRG 5: tumor without evidence of regression                   | 2 (7)               |
| TRG Becker  |                     |
| TRG 1a: complete tumor regression without residual tumor      | 17 (59)             |
| TRG 1b: < 10% residual tumor per tumor bed                    | 4 (14) <sup>a</sup> |
| TGR 2: 10% to 50% residual tumor                              | 2 (7)               |
| TRG 3: > 50% residual tumor cells                             | 6 (21)              |

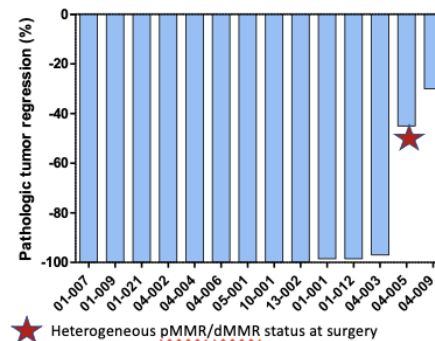
André et al ASCO GI 2022 #244  
André et al. JCO 2022

# Phase II INFINITY Trial GONO: Neoadjuvant Tremelimumab und Durvalumab in MSI-high lokal fortgeschrittenen gastroösophagealen Adenokarzinomen



| Characteristics           | N=18 (%)     |
|---------------------------|--------------|
| Age, years: median (IQR)  | 71.5 (65-80) |
| <b>Sex</b>                |              |
| Male                      | 12 (67)      |
| Female                    | 6 (33)       |
| <b>ECOG PS</b>            |              |
| 0                         | 12 (67)      |
| 1                         | 6 (33)       |
| <b>Primary site</b>       |              |
| Gastric                   | 14 (78)      |
| Gastroesophageal junction | 4 (22)       |
| <b>T stage</b>            |              |
| T2                        | 1 (5)        |
| T3                        | 10 (56)      |
| T4                        | 7 (39)       |
| <b>N stage</b>            |              |
| N0                        | 3 (17)       |
| N1                        | 6 (33)       |
| N2                        | 9 (50)       |
| <b>N bulky</b>            |              |
| yes                       | 4 (22)       |
| no                        | 14 (78)      |

|                          | mITT (n, %)<br>N=15† |
|--------------------------|----------------------|
| <b>TRG Becker, N (%)</b> |                      |
| 1a                       | 9 (60)               |
| 1b                       | 3 (20)               |
| 3                        | 2 (13)               |

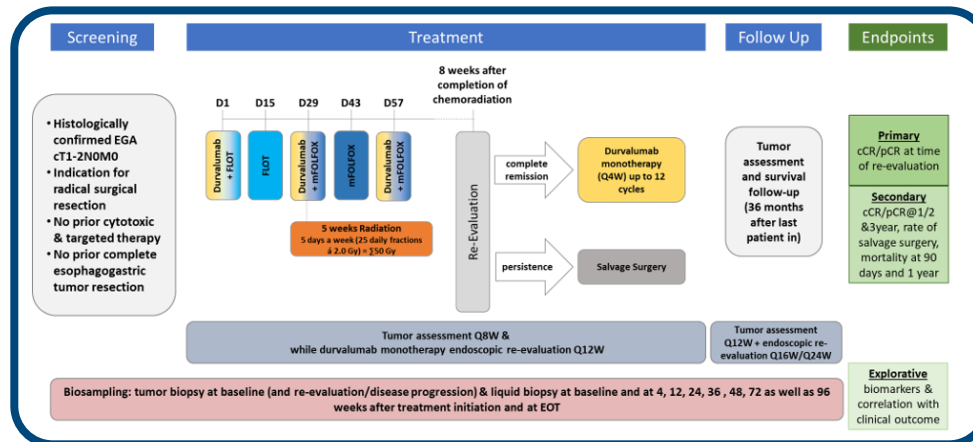


PCR: 60%; major path. Remission: 80%

# PRESTO: Organ preservation with durvalumab-based immunotherapy in combination with chemoradiation as definitive therapy for early stage, cT1 and cT2N0, esophageal adenocarcinoma (incl. gastroesophageal junction adenocarcinoma) with indication for radical surgery: A prospective, multicenter study of the FLOT-AIO Gastric Cancer Group

iKF FLOT-AIO  
Gastric Cancer Group

**AIO**



**Lead Investigator (LKP)**

**Prof. Dr. med. Thorsten Götze**  
Institute of Clinical Cancer Research,  
University Cancer Center (UCT) Frankfurt  
Krankenhaus Nordwest  
D-60488 Frankfurt

**Scientific Lead**

**Prof. Dr. med. Sylvie Lorenzen**  
Klinikum rechts der Isar  
Ismaningerstr. 22  
D-81675 München

**Prof. Dr. med. Salah-Eddin Al-Batran**  
Krankenhaus Nordwest  
Steinbacher Hohl 2-26  
D-60488 Frankfurt am Main

**Prof. Dr. med. Nils Homann**  
Klinikum Wolfsburg  
Sauerbruchstr. 7  
38440 Wolfsburg

Cohort 1 will consist of participants with PD-L1 combined positive score (CPS) < 10.

Cohort 2 will consist of participants with PD-L1 CPS ≥ 10.



## Zusammenfassung

- **Neoadjuvante RCTX vs. perioperative CTX** bei gastroösophagealen Übergangskarzinomen: **Kein klarer Sieger bis 2023** (NeoAegis-Studie)! Ergebnisse der Phase-III-Studien TopGear, RACE, ESOPEC stehen noch aus
- Biomarker-Tests werden entscheidend sein!
- **Her-2 negativ: Perioperative Therapie mit FLOT Standard!** IO-Therapie vielversprechend - Phase II DANTE pCR Verbesserung 10%- Ergebnisse der Studien Matterhorn und KEYNOTE-585 abwarten (ESMO 2023)
- **Her-2 positiv:** Phase II PETRARCA & INNOVATION: Perioperative Therapie mit CTX und anti Her-2 vielversprechend! **Aber kein Standard!**
- IO-Therapie allein möglicherweise neue neoadjuvante Therapie für **MSI high/dMMR?** **NEONIPIGA und INFINITY Studien mit hohen pCR-und DCR Raten (60% bzw. 80%)**
- -> obwohl größere Studien erforderlich sind, werden chemofreie ICI-basierte Strategien in dieser molekularen Untergruppe bald ein Standard der Behandlung darstellen
- -> wird Organerhaltung vs. neoadjuvantes Vorgehen eine Option sein?

# Danke

